

10/23/01  
11044 U.S. PTOA  
12-05-01

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**NEW UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number	21676-06262
First Named Inventor	Masoud Khansari et al.
Title	FORWARD ERROR CORRECTION (FEC) BASED ON SONET/SDH FRAMING
Express Mail Label No.	EL734639247US

<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below)	Total Pages 29	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent	
■ Descriptive Title of the Invention		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	
■ Cross Reference(s) to Related Case(s)		11. <input type="checkbox"/> Preliminary Amendment	
■ Statement Regarding Fed sponsored R & D		12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)	
■ Background of the Invention		13. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
■ Brief Summary of the Invention		14. <input checked="" type="checkbox"/> Return Postcard	
■ Brief Description of the Drawing(s)		15. <input type="checkbox"/> _____	
■ Detailed Description		16. <input type="checkbox"/> _____	
■ Claim or Claims		17. <input type="checkbox"/> _____	
■ Abstract of the Disclosure			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets 13		
5. Oath or Declaration			
a. <input type="checkbox"/> New Declaration	Total Pages _____		
<input type="checkbox"/> Executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			

**ADDRESS TO:**

Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS** Customer Number and Bar Code Label

00758

Name (Print/Type)	Neil F. Maloney	Registration No. (Attorney/Agent)	42,833
Signature		Date	October 23, 2001

10/23/01  
101401

EXPRESS MAIL NO. EL734639247US

PTO/SB/17 (10-01)(modified)  
Approved for use through 09/29/01, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEU.S. Patent and Trademark Office  
Rev. 10/2000U.S. Department of Commerce  
Patent and Trademark Office**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT**

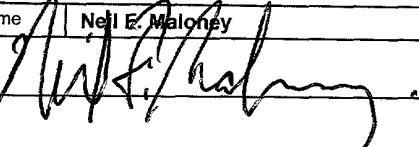
Subtotal (1) + Subtotal (2) + Subtotal (3) =

**(\$) PLEASE DEFER****Complete if Known**

Application Number	NEW
Filing Date	HEREWITH
First Named Inventor	Masoud Khansari et al.
Group Art Unit	UNASSIGNED
Examiner Name	UNASSIGNED

Attorney Docket Number

21676-06262

<b>METHOD OF PAYMENT</b>		<b>FEES CALCULATION (continued)</b>					
<b>1. The Commissioner is hereby authorized to:</b>		<b>3. ADDITIONAL FEES</b>					
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup> <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		<u>Large Entity</u>	<u>Small Entity</u>	Fee Description	Fee Due		
		Fee Code/Fee	Fee Code/Fee				
		105/\$130	205/\$65	Surcharge - late filing fee or oath			
		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet			
		147/\$2,520	147/\$2,520	For filing a request for reexamination			
		115/\$110	215/\$55	Extension for response within first month <sup>†</sup>			
		116/\$400	216/\$200	Extension for response within second month <sup>†</sup>			
		117/\$920	217/\$460	Extension for response within third month <sup>†</sup>			
		118/\$1,440	218/\$720	Extension for response within fourth month <sup>†</sup>			
		128/\$1,960	228/\$980	Extension for response within fifth month <sup>†</sup>			
		119/\$320	219/\$160	Notice of Appeal			
		141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application			
		142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)			
		143/\$460	243/\$230	Design Issue Fee			
		122/\$130	122/\$130	Petitions to the Commissioner			
		126/\$180	126/\$180	Submission of Information Disclosure Statement			
		179/\$740	279/\$370	Request for Continued Examination (RCE)			
		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)			
		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))			
		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))			
		Other fee (specify):					
		Other fee (specify):					
		<b>SUBTOTAL (3) (\$ 0)</b>					
<b>2. CLAIMS</b>							
<u>Large Entity</u>	<u>Small Entity</u>	Fee Description					
Fee Code/Fee	Fee Code/Fee						
103/\$18	203/\$9	Claims in excess of 20					
102/\$84	202/\$42	Independent claims in excess of 3					
104/\$280	204/\$140	Multiple dependent claim					
109/\$84	209/\$42	Reissue independent claims over original patent					
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent					
		(Col. 1) For TOTAL INDEP	(Col. 2) No. of Existing Claims minus* minus*	(Col. 3) Highest No. Previously Paid For 20 or 0 3 or 0	Extra** = 1 = 1	Fee x 9 x 42	Fee Due = 9 = 42 = 0
		[ ] First presentation of multiple dependent claim					
		* Subtract the greater number of Col. 2					
		** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3					
						<b>SUBTOTAL (2) (\$ 51)</b>	
<b>SUBMITTED BY</b>						<b>Complete (if applicable)</b>	
Typed or Printed Name		Neil E. Maloney				Reg. Number	42,833
Signature					Date	October 23, 2001	

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

EXPRESS MAIL NO. EL734639247US

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Masoud Khansari et al.
Title	FORWARD ERROR CORRECTION (FEC) BASED ON SONET/SDH FRAMING
Atty. Docket Number	21676-06262

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 23, 2001

Date



Signature

Neil F. Maloney, Reg. No. 42,833  
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.